



October 12, 2020

To,  
The Manager,  
Listing Department,  
The National Stock Exchange of India Limited  
Exchange Plaza, C-1, Block G  
Bandra Kurla Complex  
Bandra (East), Mumbai 400051  
Scrip Symbol: ASTERDM

Dear Sir/Madam,

**Sub: Submission of certificate as per Regulation 40 (9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020**

Pursuant to regulation 40 (9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed the certificate issued by a Practicing Company Secretary, pertaining to transfer of shares and issue of share certificates for the half year ended September 30, 2020.

We request you to kindly take this in your record.

Thanking you,

For **Aster DM Healthcare Limited**

**Puja Aggarwal**  
**Company Secretary and Compliance Officer**

**Aster DM Healthcare Limited**  
CIN- L85110KL2008PLC021703  
IX/475L, Aster Medcity, Kuttisahib Road  
Near Kothad Bridge, South Chittoor PO  
Cheranalloor, Kochi- 682027, Kerala, India  
Tel: +91 484 6699999, Fax: +91 484 6699862  
Email: cs@asterdmhealthcare.com



**MDS & Associates**  
Company Secretaries in Practice

**M.D.Selvaraj** M Com MBA FCS

"SURYA", 35, Mayflower Avenue, Sowripalayam Road, Coimbatore 641028.

Phone : 0422-2318780, 2316755, Fax : 0422-2314792, E-mail : mds@mdsservices.in, Web : www.mdsservices.in

**CERTIFICATE UNDER 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Aster DM Healthcare Limited, (CIN: L85110KL2008PLC021703)** having its Registered Office at X/475L, Aster Medcity, Kuttisahib Road, Near Kothad Bridge, South Chittoor PO, Cheranalloor, Kochi, Kerala 682027 maintained by M/s.Link Intime India Private Limited, Registrar and Share Transfer Agent, Coimbatore pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2020 to 30<sup>th</sup> September 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015, to National Stock Exchange of India Limited, Mumbai (NSE) and based on the information provided by the Company, I hereby certify that the Company has not received any physical shares for transfer, transmission or any other purpose during the half year ended 30<sup>th</sup> September 2020.

Date : 07.10.2020

Place: Coimbatore

M.D.SELVARAJ

UDIN: F000960B000869168

**MDS & ASSOCIATES**

Prop : M.D.SELVARAJ M.Com, MBA,FCS,

COMPANY SECRETARY IN PRACTICE

FCS - 960, CP - 411

"SURYA" 35, MAYFLOWER AVENUE  
SOWRIPALAYAM ROAD, COIMBATORE - 641 028