

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

As on financial year ended on March 31, 2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS

1	CIN	L85110KA2008PLC147259
2	Registration Date	January 18, 2008
3	Name of the Company	Aster DM Healthcare Limited
4	Category/Sub-category of the Company	Company limited by shares/ Indian non-government company
5	Address of the Registered office & contact details	No.1785, Sarjapur Road, Sector -1, HSR Layout, Ward No.174, Agara Extension, Bangalore-560102, Karnataka, India Tel: +91 484 6699999
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Link Intime India (P) Ltd C-101, 1 st Floor, 247 Park, Lal Bahadur Shastri. Marg, Vikhroli (West), Mumbai - 400 083 Maharashtra, India Tel: +91 022 4918 6200

Note: Pursuant to the Company receiving approval from the Regional Director, Southern region Chennai, for shifting its registered office from Kochi, State of Kerala to Bangalore, State of Karnataka, the Registrar of Companies, Bangalore has issued the Certificate of Registration of Regional Director order for change of state dated May 10, 2021 incorporating the change in the registered office address of the Company and new Corporate Identification Number.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10% or more of the total turnover of the company shall be stated)

SI no.	Name and Description of main products / services	NIC Code of the Product / Service	% to total turnover of the company
1	Hospital Activities	86110	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SI no.	Name and address of the Company	CIN	Holding/ Subsidiary/ Associate	% of beneficial holding	% of legal holding*	Country
1	Ambady Infrastructure Private Limited	U45201KL2008PTC021727	Subsidiary	100%	100%	India
2	Aster DM Healthcare (Trivandrum) Private Limited	U85110KL2010PTC025573	Subsidiary	100%	100%	India
3	DM Med City Hospitals (India) Private Limited	U85110KL2009PTC024999	Subsidiary	100%	100%	India
4	Malabar Institute of Medical Sciences Ltd	U85110KL1995PLC008677	Subsidiary	74%	74%	India
5	Mindriot Research and Innovation Foundation	U85300KL2021NPL067947	Subsidiary	49%	49%	India
6	Prerana Hospital Limited	U85110PN1996PLC104292	Subsidiary	87%	87%	India
7	Sri Sainatha Multispeciality Hospitals Private Limited	U85110TG2007PTC054118	Subsidiary	77%	77%	India
8	Dr. Ramesh Cardiac and Multispeciality Hospital Private Limited	U73100AP1995PTC020491	Subsidiary	51%	51%	India
9	Aster Clinical Lab LLP	AAP-8163	Subsidiary	100%	100%	India
10	Affinity Holdings Private Limited	NA	Subsidiary	100%	100%	Mauritius
11	EMED Human Resources India Private Limited	U74900KL2010PTC025639	Subsidiary	100%	100%	India
12	Ezhimala Infrastructure LLP	AAP-7788	Subsidiary	40%	40%	India
13	Warseps Healthcare LLP	AAI-0239	Subsidiary	100%	100%	India
14	Sanghamitra Hospitals Private Limited	U85110AP2008PTC060069	Subsidiary	26%	26%	India
15	Aster Ramesh Duhita LLP	AAM-2399	Subsidiary	26%	26%	India
16	Komali Fertility Centre LLP (formerly known as Ramesh Fertility Centre LLP)	AAN-8110	Subsidiary	26%	26%	India
17	Aster Caribbean Holdings Limited		Subsidiary	100%	100%	Cayman Island
18	Aster Cayman Hospital Limited		Subsidiary	100%	100%	Cayman Island
19	Aster DM Healthcare FZC		Subsidiary	100%	100%	UAE
20	Aster Hospital Sonapur L.L.C		Subsidiary	90%	39%	UAE
21	Radiant Healthcare L.L.C		Subsidiary	76%	25%	UAE
22	Aster Day Surgery Centre LLC		Subsidiary	82%	49%	UAE
23	D M Healthcare (L L C)		Subsidiary	100%	100%	UAE
24	Wahat Al Aman Home Health Care LLC		Subsidiary	100%	49%	UAE
25	Aster Grace Nursing and Physiotherapy LLC		Subsidiary	60%	29%	UAE
26	Aster Pharmacies Group LLC		Subsidiary	100%	49%	UAE

SI no.	Name and address of the Company	CIN	Holding/ Subsidiary/ Associate	% of beneficial holding	% of legal holding*	Country
27	New Aster Pharmacy DMCC		Subsidiary	100%	100%	UAE
28	Medshop Garden Pharmacy LLC		Subsidiary	100%	49%	UAE
29	Aster DCC Pharmacy LLC		Subsidiary	70%	49%	UAE
30	Aster Al Shafar Pharmacies Group LLC		Subsidiary	51%	49%	UAE
31	Rafa Pharmacy LLC		Subsidiary	100%	49%	UAE
32	Aster Pharmacy LLC, AUH		Subsidiary	100%	49%	UAE
33	Med Shop Drugs Store LLC		Subsidiary	100%	49%	UAE
34	Alfa Drug Store LLC		Subsidiary	100%	49%	UAE
35	Alfa One Drug Store LLC		Subsidiary	100%	49%	UAE
36	Alfaone FZ LLC		Subsidiary	100%	100%	UAE
37	DM Pharmacies LLC**		Subsidiary	100%	49%	UAE
38	Aster Opticals LLC		Subsidiary	60%	49%	UAE
39	Medcare Hospital LLC		Subsidiary	85%	73%	UAE
40	Premium Healthcare Limited		Subsidiary	80%	80%	UAE
41	Dr. Moopens Healthcare Management Services LLC		Subsidiary	100%	49%	UAE
42	Eurohealth Systems FZ LLC		Subsidiary	100%	95%	UAE
43	Al Rafa Investments Limited		Subsidiary	100%	0%	UAE
44	Al Rafa Holdings Limited		Subsidiary	100%	0%	UAE
45	Alfa Investments Limited #		Subsidiary	0%	0%	UAE
46	Active Holdings Limited		Subsidiary	100%	0%	UAE
47	Al Rafa Medical Centre LLC		Subsidiary	51%	40%	UAE
48	Dar Al Shifa Medical Centre LLC		Subsidiary	51%	40%	UAE
49	Aster Primary Care LLC		Subsidiary	71%	40%	UAE
50	Modern Dar Al Shifa Pharmacy LLC		Subsidiary	51%	40%	UAE
51	Harley Street LLC		Subsidiary	60%	9%	UAE
52	Harley Street Pharmacy LLC		Subsidiary	60%	9%	UAE
53	Harley Street Medical Centre LLC		Subsidiary	60%	9%	UAE
54	Harley Street Dental LLC		Subsidiary	38%	2%	UAE
55	Grand Optics LLC		Subsidiary	85%	34%	UAE
56	Noor Al Shefa Clinic LLC		Subsidiary	70%	19%	UAE
57	Zahrath Al Shefa Medical Center LLC		Subsidiary	70%	19%	UAE
58	Zahrath Al Shefa Pharmacy LLC		Subsidiary	70%	19%	UAE
59	Samary Pharmacy LLC		Subsidiary	70%	19%	UAE
60	Metro Meds Pharmacy LLC		Subsidiary	66%	15%	UAE
61	Metro Medical Center L.L.C		Subsidiary	66%	15%	UAE
62	Symphony Healthcare Management Services LLC		Subsidiary	100%	0%	UAE
63	E-Care International Medical Billing Services Co. LLC		Subsidiary	80%	0%	UAE
64	Al Raffah Hospital LLC		Subsidiary	100%	100%	Oman
65	Al Raffah Medical Centre LLC***		Subsidiary	NA	NA	Oman
66	Al Raffah Pharmacies Group LLC		Subsidiary	100%	70%	Oman
67	Oman Al Khair Hospital LLC		Subsidiary	60%	42%	Oman
68	Dr. Moopen's Healthcare Management Services WLL		Subsidiary	99%	49%	Qatar
69	Welcare Polyclinic W.L.L		Subsidiary	50%	45%	Qatar
70	Dr. Moopens Aster Hospital WLL		Subsidiary	99%	49%	Qatar
71	Sanad Al Rahma for Medical Care LLC		Subsidiary	100%	100%	Kingdom of Saudi Arabia
72	Aster DM Healthcare WLL (earlier Aster DM Healthcare SPC)		Subsidiary	100%	100%	Bahrain
73	Orange Pharmacies LLC		Subsidiary	51%	0%	Jordan
74	Al Shafar Pharmacy LLC, AUH **		Subsidiary	51%	49%	UAE
75	Aster DM Healthcare INC **		Subsidiary	90%	90%	Philippines
76	Aster Medical Centre LLC**		Subsidiary	90%	39%	UAE
77	Aster Kuwait Pharmaceuticals and Medical Equipment Company W.L.L **		Subsidiary	54%	2%	Kuwait
78	Asma Pharmacy LLC ***		Subsidiary	NA	NA	UAE
79	Shindagha Pharmacy LLC ***		Subsidiary	NA	NA	UAE
80	Union Pharmacy LLC ***		Subsidiary	NA	NA	UAE
81	Zabeel Pharmacy LLC ***		Subsidiary	NA	NA	UAE
82	MIMS Infrastructure and Properties Private Limited	U70102KL2010PTC026300	Associate	36%	36%	India
83	Alfaone Medicals Private Limited	U51397KA2020PTC141787	Associate	16%	16%	India
84	Alfaone Retail Pharmacies Private Limited	U51909KA2021PTC142827	Associate	16%	16%	India
85	Aries Holdings FZC		Associate	25%	25%	UAE
86	AAQ Healthcare Investments LLC		Associate	33%	33%	UAE
87	Aries Investments LLC		Associate	25%	25%	UAE
88	Al Mutamaizah Medcare Healthcare Investment Co. LLC		Associate	49%	49%	UAE

Note:

* Although the percentage of voting rights as a result of legal holding by the Company is not more than 50% in certain entities listed above, the Company has the power to appoint majority of the Board of Directors of those entities as to obtain substantially all the returns related to their operations and net assets and has the ability to direct that activities that most significantly affect these returns. Consequently, all the entities listed above have been consolidated for the purposes of the preparation of this consolidated financial information.

**Represents subsidiaries which are in the process of being wound-up.

*** Represents subsidiaries which were merged during the current year.

#Although the percentage of voting rights as a result of legal holding by the Group is Nil, the Group has the power to appoint/replace all members of the Board of Directors. Consequently Group has control over the entity.

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category Code	Category of Shareholder	No. of Shares held at the beginning of the year [As on April 01, 2020]				No. of Shares held at the end of the year [As on March 31, 2021]				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	Promoter and Promoter Group									
(1)	Indian									
(a)	Individual/ HUF	0	0	0	0.00%	0	0	0	0.00%	0.00%
(b)	Central Government/State Government(s)	0	0	0	0.00%	0	0	0	0.00%	0.00%
(c)	Bodies Corporate	0	0	0	0.00%	0	0	0	0.00%	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0	0	0	0.00%	0.00%
(e)	Others	0	0	0	0.00%	0	0	0	0.00%	0.00%
	Sub Total (A) (1)	0	0	0	0.00%	0	0	0	0.00%	0.00%
(2)	Foreign									
(a)	Individuals (NRIs/Foreign Individuals)	23,25,269	0	23,25,269	0.47%	23,71,989	0	23,71,989	0.47%	0.01%
(b)	Bodies Corporate	18,68,53,810	0	18,68,53,810	37.41%	18,68,53,810	0	18,68,53,810	37.41%	0.00%
(c)	Institutions	0	0	0	0.00%	0	0	0	0.00%	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0	0	0	0.00%	0.00%
(e)	Others	0	0	0	0.00%	0	0	0	0.00%	0.00%
	Sub Total (A) (2)	18,91,79,079	0	18,91,79,079	37.87%	18,92,25,799	0	18,92,25,799	37.88%	0.01%
	Total A=A(1)+A(2)	18,91,79,079	0	18,91,79,079	37.87%	18,92,25,799	0	18,92,25,799	37.88%	0.01%
(B)	Public Shareholding									
(1)	Institutions									
(a)	Mutual Funds	2,48,33,785	0	2,48,33,785	4.97%	3,35,29,800	0	3,35,29,800	6.71%	1.74%
(b)	Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
(c)	Alternate Investment Funds	45,59,668	0	45,59,668	0.91%	45,59,668	0	45,59,668	0.91%	0.00%
(d)	Foreign Venture Capital Investors	1,29,99,534	0	1,29,99,534	2.60%	1,29,99,534	0	1,29,99,534	2.60%	0.00%
(e)	Foreign Portfolio Investors	4,09,86,108	0	4,09,86,108	8.21%	3,98,06,384	0	3,98,06,384	7.97%	-0.24%
(f)	Financial Institutions / Banks	31,49,362	0	31,49,362	0.63%	0	0	0	0.00%	-0.63%
(g)	Insurance Companies	0	0	0	0.00%	54,62,225	0	54,62,225	1.09%	1.09%
(h)	Provident Funds/ Pension Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
(i)	Any Other (Specify)	0	0	0	0.00%	0	0	0	0.00%	0.00%
	Sub Total (B)(1)	8,65,28,457	0	8,65,28,457	17.32%	9,63,57,611	0	9,63,57,611	19.29%	1.97%
(2)	Central Government/ State Government(s)/ President of India	0	0	0	0.00%	0	0	0	0.00%	0.00%
	Sub-total (B)(2)	0	0	0	0.00%	0	0	0	0.00%	0.00%
(3)	Non-Institutions									
(a)	Individuals									
i)	Individual shareholders holding nominal share capital upto ₹1 lakhs	93,96,490	19,862	94,16,352	1.89%	94,31,318	19,862	94,51,180	1.89%	0.01%
ii)	Individual shareholders holding nominal share capital in excess of ₹ 1 lakhs	53,49,035	43,60,550	97,09,585	1.94%	47,77,113	42,47,937	90,25,050	1.81%	-0.14%
(b)	NBFCs registered with RBI	0	0	0	0.00%	0	0	0	0.00%	0.00%
(c)	Overseas Depositories(holding DRs)	0	0	0	0.00%	0	0	0	0.00%	0.00%
(d)	Others									
	Trusts	0	0	0	0.00%	10,000	0	10,000	0.00%	0.00%
	Hindu Undivided Family	3,85,764	0	3,85,764	0.08%	4,10,768	0	4,10,768	0.08%	0.01%
	Foreign Companies	16,33,99,695	1	16,33,99,696	32.71%	16,33,99,695	1	16,33,99,696	32.71%	0.00%
	Non Resident Indians (Non-Repatriation)	27,59,394	0	27,59,394	0.55%	25,59,548	0	25,59,548	0.51%	-0.04%
	Non Resident Indians (Repatriation)	2,42,84,461	16,22,491	2,59,06,952	5.19%	2,47,01,954	13,31,190	2,60,33,144	5.21%	0.03%
	Foreign Portfolio Investor (Individual)	0	0	0	0.00%	834	0	834	0.00%	0.00%
	Clearing Member	12,59,797	0	12,59,797	0.25%	1,54,141	0	1,54,141	0.03%	-0.22%
	Bodies Corporate	80,95,368	0	80,95,368	1.62%	3,94,148	0	3,94,148	0.08%	-1.54%
	Sub-total (B)(3)	21,49,30,004	60,02,904	22,09,32,908	44.23%	20,58,39,519	55,98,990	21,14,38,509	42.33%	-1.90%
	Total (B)=B(1)+B(2)+B(3)	30,14,58,461	60,02,904	30,74,61,365	61.55%	30,21,97,130	55,98,990	30,77,96,120	61.62%	0.07%
	Total (A+B)	49,06,37,540	60,02,904	49,66,40,444	99.42%	49,14,22,929	55,98,990	49,70,21,919	99.50%	0.08%

Category Code	Category of Shareholder	No. of Shares held at the beginning of the year [As on April 01, 2020]				No. of Shares held at the end of the year [As on March 31, 2021]				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(C)	Non-promoter-Non public									
(1)	Custodian/DR Holder	0	0	0	0.00%	0	0	0	0.00%	0.00%
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	28,72,616	0	28,72,616	0.58%	24,91,141	0	24,91,141	0.50%	-0.08%
	Total (C)	28,72,616	0	28,72,616	0.58%	24,91,141	0	24,91,141	0.50%	-0.08%
	Grand Total (A+B+C)	49,35,10,156	60,02,904	49,95,13,060	100.00%	49,39,14,070	55,98,990	49,95,13,060	100.00%	

(ii) Shareholding of Promoter

S.NO	Promoter's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Union Investments Private Limited	18,68,53,810	37.41%	0.00%	18,68,53,810	37.41%	3.95%	0.00%
2	Dr. Azad Moopen	17,33,536	0.35%	0.00%	17,33,536	0.35%	0.00%	0.00%
3	Ms. Alisha Moopen	1,69,122	0.03%	0.00%	2,15,842	0.04%	0.00%	0.01%
4	Ms. Ziham Moopen	1,71,500	0.03%	0.00%	1,71,500	0.03%	0.00%	0.00%
5	Mrs. Naseera Azad	1,42,587	0.03%	0.00%	1,42,587	0.03%	0.00%	0.00%
6	Ms. Zeba Azad Moopen	1,08,524	0.02%	0.00%	1,08,524	0.02%	0.00%	0.00%
	TOTAL	18,91,79,079	37.87%	0.00%	18,92,25,799	37.88%	3.95%	0.01%

(iii) Change in Promoters' Shareholding

S.NO	Particulars	Date	Increase/ Decrease in shareholding	Shareholding		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Union Investments Private Limited						
	At the beginning of the year			18,68,53,810	37.41%	18,68,53,810	37.41%
	Changes during the year			-	-	18,68,53,810	37.41%
	At the end of the year			18,68,53,810	37.41%		
2	Dr. Azad Moopen						
	At the beginning of the year			17,33,536	0.35%	17,33,536	0.35%
	Changes during the year			-	-	17,33,536	0.35%
	At the end of the year			17,33,536	0.35%		
3	Ms. Alisha Moopen						
	At the beginning of the year			1,69,122	0.03%	1,69,122	0.03%
	Changes during the year	April 03, 2020	Purchase	46,720	0.01%	2,15,842	0.04%
	At the end of the year			2,15,842	0.04%		
4	Ms. Ziham Moopen						
	At the beginning of the year			1,71,500	0.03%	1,71,500	0.03%
	Changes during the year			-	-	1,71,500	0.03%
	At the end of the year			1,71,500	0.03%		
5	Mrs. Naseera Azad						
	At the beginning of the year			1,42,587	0.03%	1,42,587	0.03%
	Changes during the year			-	-	1,42,587	0.03%
	At the end of the year			1,42,587	0.03%		
6	Ms. Zeba Azad						
	At the beginning of the year			1,08,524	0.02%	1,08,524	0.02%
	Changes during the year			-	-	1,08,524	0.02%
	At the end of the year			1,08,524	0.02%		

(iv) Shareholding Pattern of top ten Shareholders as on March 31, 2021

(Other than Directors, Promoters and Holders of GDRs and ADRs):

S.NO	For each of the Top 10 Shareholders	Date	Increase/ Decrease in shareholding	Shareholding		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Olympus Capital Asia Investments Limited						
	At the beginning of the year			11,50,60,307	23.03%	11,50,60,307	23.03%
	Changes during the year			-	0.23	11,50,60,307	23.03%
	At the end of the year			11,50,60,307			
2	RIMCO (Mauritius) Limited						
	At the beginning of the year			5,05,85,472	10.13%	5,05,85,472	10.13%
	Changes during the year				10.13%	5,05,85,472	10.13%
	At the end of the year			5,05,85,472			
3	HDFC Small Cap Fund						
	At the beginning of the year			1,43,79,759	2.88%	1,43,79,759	2.88%
	Changes during the year	April 03, 2020	Purchase	10,00,000	0.20%	1,53,79,759	3.08%
		April 17, 2020	Purchase	7,25,000	0.15%	1,61,04,759	3.22%
		April 24, 2020	Purchase	5,22,000	0.10%	1,66,26,759	3.33%
		May 08, 2020	Purchase	5,00,000	0.10%	1,71,26,759	3.43%
		May 15, 2020	Purchase	5,00,000	0.10%	1,76,26,759	3.53%
		May 22, 2020	Purchase	5,00,000	0.10%	1,81,26,759	3.63%
		June 12, 2020	Purchase	21,400	0.00%	1,81,48,159	3.63%
		October 30, 2020	Purchase	50,000	0.01%	1,81,98,159	3.64%
		November 27, 2020	Purchase	38,000	0.01%	1,82,36,159	3.65%
		December 04, 2020	Purchase	49,000	0.01%	1,82,85,159	3.66%
		March 26, 2021	Purchase	23,000	0.00%	1,83,08,159	3.67%
	At the end of the year			1,83,08,159	3.67%		
4	Rashid Aslam Bin Mohideen						
	At the beginning of the year			1,12,25,214	2.25%	1,12,25,214	2.25%
	Changes during the year			-	-	1,12,25,214	2.25%
	At the end of the year			1,12,25,214	2.25%		
5	Indium IV Mauritius Holdings Limited						
	At the beginning of the year			1,07,53,450	2.15%	1,07,53,450	2.15%
	Changes during the year			-	-	1,07,53,450	2.15%
	At the end of the year			1,07,53,450	0.02		
6	RIMCO India Limited						
	At the beginning of the year			99,09,631	1.98%	99,09,631	1.98%
	Changes during the year			-	-	99,09,631	1.98%
	At the end of the year			99,09,631	1.98%		
7	Steinberg India Emerging Opportunities Fund Limited						
	At the beginning of the year			62,70,209	1.26%	62,70,209	1.26%
	Changes during the year	April 17, 2020	Purchase	9,25,000	0.19%	71,95,209	1.44%
		May 29, 2020	Purchase	3,06,669	0.06%	75,01,878	1.50%
		June 05, 2020	Purchase	3,68,122	0.07%	78,70,000	1.58%
	At the end of the year			78,70,000	1.58%		
8	ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund						
	At the beginning of the year			33,66,757	0.67%	33,66,757	0.67%
	Changes during the year	April 17, 2020	Purchase	2,88,919	0.06%	36,55,676	0.73%
		April 24, 2020	Purchase	3,13,850	0.06%	39,69,526	0.79%
		May 01, 2020	Purchase	3,99,973	0.08%	43,69,499	0.87%
		May 08, 2020	Purchase	1,99,433	0.04%	45,68,932	0.91%
		May 15, 2020	Sale	(54)	0.00%	45,68,878	0.91%
		May 22, 2020	Purchase	1,75,000	0.04%	47,43,878	0.95%
		May 29, 2020	Purchase	27	0.00%	47,43,905	0.95%
		June 05, 2020	Purchase	90,558	0.02%	48,34,463	0.97%

S.NO	For each of the Top 10 Shareholders	Date	Increase/ Decrease in shareholding	Shareholding		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
		June 12, 2020	Purchase	50,000	0.01%	48,84,463	0.98%
		June 19, 2020	Purchase	4,911	0.00%	48,89,374	0.98%
		June 26, 2020	Sale	(78)	0.00%	48,89,296	0.98%
		July 10, 2020	Sale	(78)	0.00%	48,89,218	0.98%
		July 17, 2020	Purchase	6,343	0.00%	48,95,561	0.98%
		July 24, 2020	Sale	(1,45,495)	-0.03%	47,50,066	0.95%
		August 07, 2020	Sale	(78)	0.00%	47,49,988	0.95%
		August 21, 2020	Purchase	537	0.00%	47,50,525	0.95%
		September 18, 2020	Purchase	29,776	0.01%	47,80,301	0.96%
		September 25, 2020	Purchase	1,621	0.00%	47,81,922	0.96%
		September 30, 2020	Purchase	3,623	0.00%	47,85,545	0.96%
		October 16, 2020	Purchase	45,050	0.01%	48,30,595	0.97%
		October 23, 2020	Purchase	29,974	0.01%	48,60,569	0.97%
		November 13, 2020	Sale	(26)	0.00%	48,60,543	0.97%
		November 27, 2020	Purchase	26	0.00%	48,60,569	0.97%
		January 29, 2021	Purchase	5,114	0.00%	48,65,683	0.97%
		February 12, 2021	Purchase	4,00,000	0.08%	52,65,683	1.05%
		February 19, 2021	Purchase	12,71,927	0.25%	65,37,610	1.31%
		March 05, 2021	Purchase	2,27,517	0.05%	67,65,127	1.35%
		March 12, 2021	Purchase	27,921	0.01%	67,93,048	1.36%
		March 19, 2021	Purchase	15,480	0.00%	68,08,528	1.36%
		March 31, 2021	Purchase	48,076	0.01%	68,56,604	1.37%
	At the end of the year			68,56,604	1.37%		
9	Tata AIA Life Insurance Co Ltd- Whole Life Mid Cap Equity Fund- ULIF 009 04/01/07 WLE 110						
	At the beginning of the year			1,05,67,344	2.12%	1,05,67,344	2.12%
	Changes during the year	April 24, 2020	Sale	(7,37,779)	-0.15%	98,29,565	1.97%
		May 01, 2020	Sale	(3,25,574)	-0.07%	95,03,991	1.90%
		May 08, 2020	Sale	(5,88,115)	-0.12%	89,15,876	1.78%
		May 15, 2020	Sale	(5,30,224)	-0.11%	83,85,652	1.68%
		May 22, 2020	Sale	(7,17,571)	-0.14%	76,68,081	1.54%
		November 27, 2020	Sale	(88,210)	-0.02%	75,79,871	1.52%
		December 04, 2020	Sale	(1,23,009)	-0.02%	74,56,862	1.49%
		December 11, 2020	Sale	(1,18,129)	-0.02%	73,38,733	1.47%
		December 18, 2020	Sale	(5,62,455)	-0.11%	67,76,278	1.36%
		December 25, 2020	Sale	(2,55,841)	-0.05%	65,20,437	1.31%
		December 31, 2020	Sale	(6,400)	0.00%	65,14,037	1.30%
		January 08, 2021	Sale	(44,183)	-0.01%	64,69,854	1.30%
		January 15, 2021	Sale	(84,116)	-0.02%	63,85,738	1.28%
		January 22, 2021	Sale	(25,482)	-0.01%	63,60,256	1.27%
		February 05, 2021	Sale	(16,832)	0.00%	63,43,424	1.27%
		February 12, 2021	Sale	(3,43,788)	-0.07%	59,99,636	1.20%
		February 19, 2021	Sale	(5,37,411)	-0.11%	54,62,225	1.09%
	At the end of the year			54,62,225	1.09%		
10	SBI Long Term Equity Fund						
	At the beginning of the year			52,53,269	1.05%	52,53,269	1.05%
	Changes during the year	July 03, 2020	Sale	(5,74,791)	-0.12%	46,78,478	0.94%
		July 10, 2020	Sale	(12,00,000)	-0.24%	34,78,478	0.70%
	At the end of the year			34,78,478	0.70%		

(v) Shareholding of Directors and Key Managerial Personnel

S.NO	Shareholding of each Directors and each Key Managerial Personnel	Date	Increase/ Decrease in shareholding	Shareholding		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Dr. Azad Moopen						
	At the beginning of the year			17,33,536	0.35%	17,33,536	0.35%
	Changes during the year			-	-	17,33,536	0.35%
	At the end of the year			17,33,536	0.35%		
2	Ms. Alisha Moopen						
	At the beginning of the year			1,69,122	0.03%	1,69,122	0.03%
	Changes during the year	April 03, 2020	Purchase	46,720	0.01%	2,15,842	0.04%
	At the end of the year			2,15,842	0.04%		
3	Mr. Shamsudheen Bin Mohideen Mammu Haji						
	At the beginning of the year			56,61,732	1.13%	56,61,732	1.13%
	Changes during the year			-	-	56,61,732	1.13%
	At the end of the year			56,61,732	1.13%		
4	Mr. T J Wilson						
	At the beginning of the year			27,10,356	0.54%	27,10,356	0.54%
	Changes during the year	May 08, 2020	Purchase	13,113	0.00%	27,23,469	0.55%
		November 27, 2020	Sale	(18,140)	0.00%	27,05,329	0.54%
		December 04, 2020	Sale	(12,887)	0.00%	26,92,442	0.54%
		February 05, 2021	Purchase	16,148	0.00%	27,08,590	0.54%
	At the end of the year			27,08,590	0.54%		
5	Mr. Anoop Moopen						
	At the beginning of the year			11,65,910	0.23%	11,65,910	0.23%
	Changes during the year	April 03, 2020	Purchase	40,302	0.01%	12,06,212	0.24%
		April 10, 2020	Purchase	69,902	0.01%	12,76,114	0.26%
	At the end of the year			12,76,114	0.26%		
6	Mr. Sreenath Reddy						
	At the beginning of the year			1,27,191	0.03%	1,27,191	0.03%
	Changes during the year	November 27, 2020	Purchase	1,21,312	0.02%	2,48,503	0.05%
	At the end of the year			2,48,503	0.05%		

V. INDEBTEDNESS AS ON MARCH 31, 2021

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Particulars	(Amount in ₹ Crores)			
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	88.88	56.29		145.17
ii) Interest due but not paid				
iii) Interest accrued but not due	0.47	0.54		1.01
Total (i+ii+iii)	89.35	56.83		146.18
Change in Indebtedness during the financial year				
* Addition	86.24			86.24
* Reduction	9.13	55.16		64.29
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	166.22	1.67		167.89
ii) Interest due but not paid				
iii) Interest accrued but not due	0.24			0.24
Total (i+ii+iii)	166.46	1.67		168.13

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

				(Amount in ₹ Crores)	
S.NO	Particulars of Remuneration Name	Name of MD/WTD/ Manager		Total Amount	
		*Dr. Azad Moopen	**Ms.Alisha Moopen		
	Designation	Managing Director	Deputy Managing Director		
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0.60	0.00		0.60
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil		Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil		Nil
2	Stock Option	Nil	Nil		Nil
3	Sweat Equity	Nil	Nil		Nil
4	Commission				
	- as % of profit	Nil	Nil		Nil
	- others, specify	Nil	Nil		Nil
5	Others, please specify	Nil	Nil		Nil
	Total	0.60	0.00		0.60
	Ceiling as per the Act		1.20		

Note:

*Dr. Azad Moopen also received remuneration of AED 6.48 Mn and variable incentive of AED 1.5 Mn during financial year 2020-21 from Dr. Moopen's Healthcare Management Services LLC. He is entitled to gratuity payments and other benefits as per the policies.

**Ms. Alisha Moopen received remuneration of AED 1.69 Mn and variable incentive of AED 0.3 Mn during financial year 2020-21 from Dr. Moopen's Healthcare Management Services LLC. She is entitled to gratuity payments and other benefits as per the policies.

B. Remuneration to other Directors

										(Amount in ₹ Crores)	
S.NO	Particulars of Remuneration									Total Amount	
		1	Independent Directors	*Mr. Ravi Prasad	*Mr. Daniel James Snyder	Mr.Suresh Muthukrishna Kumar	Prof. Biju Varkkey	Dr. Layla Mohamed Hassan Ali Alm arzoqi	Mr. Chenayappillil John George	Mr. James Mathew	**Mr. Sridar Arvamudhan Iyengar
	Fee for attending Board and Committee meetings	0.01	0.01	0.15	0.20	0.14	0.13	0.11	Nil		0.75
	Commission	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil		Nil
	Others, please specify	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil		Nil
	Total (1)	0.01	0.01	0.15	0.20	0.14	0.13	0.11	Nil		0.75
2	Other Non-Executive Directors	***Mr. T J Wilson	Mr. Anoop Moopen	Mr. Shamsudheen Bin Mohideen Mammu Haji	Mr. Daniel Robert Mintz						
	Fee for attending board committee meetings	Nil	Nil	Nil	Nil						
	Commission	Nil	Nil	Nil	Nil						
	Others, please specify	****	Nil	Nil	Nil						
	Total (2)	0.00	0.00	0.00	0.00						0.00
	Total (B)=(1+2)										0.75
	Total Managerial Remuneration										1.35
	Overall Ceiling as per the Act (1% of the profits calculated under section 198 of the Companies Act, 2013)										₹ 1.20 Crores (does not include the sitting fees paid to directors)

Note:

*Mr. Ravi Prasad (DIN: 07022310) and Mr. Daniel James Snyder (DIN:02298099), Non-Executive Independent Directors of the Company had retired from the Board of Directors of the Company on completion of their term of 5 years with effect from April 20, 2020.

**Mr. Sridar Arvamudhan Iyengar had waived off sitting fees for attending all the Board and Committee meetings for financial year ending March 31, 2021.

***Mr. T J Wilson received remuneration of AED 1.39 Mn and variable incentive of AED 0.11 Mn during financial year 2020-21 from Dr. Moopen's Healthcare Management Services LLC. He is entitled to gratuity payments and other benefits as per the policies.

****Perquisites value of stock option on account of exercise of 29,261 stock option by Mr. T J Wilson during the financial year 2020-21 is ₹ 0.38 crores.

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Amount in ₹ Crores)

S.NO	Particulars of Remuneration	Name of Key Managerial Personnel & Designation		Total Amount
		*Sreenath Reddy - CFO	Puja Aggarwal - CS	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	0.25	0.25
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			
2	Stock Option	**	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil
4	Commission	Nil	Nil	Nil
	- as % of profit	Nil	Nil	Nil
	- others, specify	Nil	Nil	Nil
5	Others, please specify	Nil	Nil	Nil
	Total	0.00	0.25	0.25

Note:

*Mr. Sreenath Reddy received remuneration of AED 1.28 Mn and variable incentive of AED 0.11 Mn during financial year 2020-21 from Dr. Moopen's Healthcare Management Services LLC. He is entitled to gratuity payments and other benefits as per the policies.

**Perquisites value of stock option on account of exercise of 1,21,312 stock option by Mr. Sreenath Reddy during the financial year 2020-21 is ₹ 1.67 crores.

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

For and on behalf of the Board of Directors

Dr. Azad Moopen

Chairman and Managing Director

DIN: 00159403

Date : June 22, 2021

Place : Dubai